Evaluation of a Participatory Ergonomics Intervention in Small Commercial Construction Firms

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Background Work-related musculoskeletal disorders (WMSD) among construction workers remain high. Participatory ergonomics (PE) interventions that engage workers and employers in reducing work injury risks have shown mixed results.

Methods Eight-six workers from seven contractors participated in a PE program. A logic model guided the process evaluation and summative evaluation of short-term and intermediate impacts and long-term outcomes from surveys and field records.

Results Process measures showed good delivery of training, high worker engagement, and low contractor participation. Workers' knowledge improved and workers reported changes to work practices and tools used; contractor provision of appropriate equipment was low (33%). No changes were seen in symptoms or reported physical effort.

Conclusions The PE program produced many worker-identified ergonomic solutions, but lacked needed support from contractors. Future interventions should engage higher levels of the construction organizational system to improve contractor involvement for reducing WMSD. Am. J. Ind. Med. 59:465–475, 2016. © 2016 Wiley Periodicals, Inc.

KEY WORDS: injury prevention; musculoskeletal disorder; process evaluation; work; training program

INTRODUCTION

Construction workers are at high risk of work-related musculoskeletal disorders (WMSD) and lose 39% more time from work than workers in all private industries [CPWR—The Center for Construction Research and Training, 2013]. WMSD may be caused by high risk work tasks, but the complex nature of construction work often makes it challenging to address these risks [Silverstein and Evanoff, 2011]. Physically demanding activities such as carrying loads, working in awkward, bent-over, or twisted postures for long periods of time, and handling vibrating tools create such risks, yet the dynamic nature of activities over the lifecycle of construction projects requires innovative interventions to eliminate the high risk physical exposures [Ringen et al., 1995; Hecker et al., 2001; Ringen and Englund, 2006]. A participatory intervention that engages the skilled workers who perform the work and the employers who execute the project timeline may be able to
increase capacity for creating safer work practices of the high risk tasks [Wilson and Haines, 1997; Haines et al., 2002; Koningsveld et al., 2005; Vink et al., 2006; Wells et al., 2009].

Participatory ergonomics (PE) is an intervention that is designed to engage both workers and managers to effect meaningful changes in work risks by pooling the workers’ knowledge and the employer’s resources [Israel et al., 1989; Bohr et al., 1997; Wilson and Haines, 1997; Brown, 2005; Hignett et al., 2005; Haukka et al., 2008]. There are few PE interventions in construction [Moir and Buchholz, 1996], many of which have been promoted by construction owners who were concerned with work productivity related to the work tasks [van der Molen et al., 2005a]. These management-driven programs solicited varying levels of worker input and even though the program identified good solutions, results showed limited worker adoption and transfer of recommended methods to future builds [Vink et al., 1997; de Jong and Vink, 2000, 2002; de Looze et al., 2001; Hess et al., 2004; van der Molen et al., 2005b]. On these temporary construction worksites with little supervision by employers, workers are empowered to structure their own work tasks as long as they work within the rules and expectations of the project. Inadequate engagement of workers in the development and implementation of interventions is a common limitation reported in PE interventions in construction.

Participatory ergonomic studies across all industries have shown mixed results [Haukka et al., 2008; Driessen et al., 2011]. These studies are often limited by inadequate delivery of the program due to lack of time, lack of management commitment, and work pressures [Carrivick et al., 2005; Haukka et al., 2008; Cole et al., 2009; Driessen et al., 2010; Oude Hengel et al., 2013; Cantley et al., 2014]. Despite these recognized challenges, a PE approach continues to be a preferred intervention to reduce or prevent WMSDs in complex environments [Glina et al., 2011; Punnett et al., 2013]. Recent reviews suggest that future studies should provide greater detail about program delivery and intermediate outcomes to identify facilitators and barriers of the program [Robson et al., 2001; Rivilis et al., 2008; Salem et al., 2008; van Eerd et al., 2010].

We used a logic model to guide the evaluation of a construction-based PE program with the following two aims: (i) determine the extent to which the program was implemented as intended and (ii) determine the impact of a participatory ergonomics training intervention on construction worker learning, actions, health, and injury risk. We hypothesized that a well-delivered PE program would result in improvements in short-term impacts measured by ergonomic skills, awareness, knowledge, and attitudes of the participating workers; intermediate impacts measured by an increase in ergonomic changes to work practices, tools or equipment during work activities; and long-term outcomes measured by a decrease in WMSD symptoms, missed work days, and risk level in task.

**MATERIALS AND METHODS**

**Subjects**

Seven small-sized contractors from three different construction trades provided the research team access to groups of their workers. Each work group consisted of apprentices, journeymen, and foremen. Our study protocol was reviewed and approved by the Institutional Review Boards of Washington University School of Medicine. All subjects provided informed consent to participate in this study.

**Program Description**

**Contractor representative recruitment/participation**

We met with local union and management leaders primarily through the local apprenticeship programs to locate contractors who had available work and that may be willing to participate in the project. We received 11 floor layer contractors (four did not meet study criteria and four were too busy or did not respond to calls), nine sheet metal contractors (five did not meet study criteria and one did not respond), and four carpenter contractors (two did not meet criteria and one did not respond). We recruited seven subcontractors (three floor layer contractors, three sheet metal contractors, one carpenter contractor). During initial recruitment meetings with contractors, researchers described the goals of the program and the contractor specific activities needed to conduct the participatory intervention. Each contractor signed a partnership form indicating their support for the program and ability to meet predefined research expectations. These expectations were to provide a stable work crew of at least three workers, have available work for the crew for a duration of at least 3 months, allow the workers to participate in training and data collection of surveys and focus groups and a contractor representative who would participate in the worksite program and support the development and implementation of ergonomic solutions. Ideally, the contractor representative was actively involved in developing and delivering training sessions and facilitating discussions within the work group. The contractor representative for the research program was either the company safety manager or construction project foreman/supervisor.

**Participatory ergonomic training program**

Each work group received training in ergonomics as part of the program. A series of training objectives were delivered using an interactive format and active participation by a contractor representative, workers, and researcher team members (see Appendix SI for the Training Objectives).
During the training, each work group was encouraged to identify high risk work tasks and propose solutions using the available tools/equipment, knowledge, or experiences from co-workers, or previously proposed solutions provided by the researchers obtained from past literature and other sources [Washington State Department of Labor and Industries, 2000; Cal/OHSA, 2003; Occupational Safety & Health Administration (OSHA), 2003; Dababneh et al., 2004; National Institute for Occupational Safety and Health (NIOSH), 2004; Albers and Estill, 2007; Canadian Centre for Occupational Health and Safety (CCOHS), 2011]. After delivering the training to the initial work groups of floor layers, the training format for the program was revised from two 30-min formal classroom sessions to six 10-min interactive tool box talks so the training more naturally fit within the construction work schedule [CPWR—The Center for Construction Research and Training, 2015], although the same objectives were covered in both training methods. In addition to training, the researchers were available at the worksite one or more times per week throughout the program period to interact with the workers and contractor representatives, assist with recognition of problem tasks, identify available solutions, acquire trial equipment for workers’ use, and record interactions in field notes. The frequency and duration of interactions between the research team and work group depended on the nature of the construction project and availability of the worker, foreman, and contractor representative on each worksite.

**Logic Model for Process and Summative Evaluation**

We have previously described the logic model (Fig. 1) used to guide the implementation and evaluation of our PE program [Jaegers et al., 2014]. Process evaluation measures were documented during delivery of the program to show the (i) fidelity of contractor recruitment and subsequent participation; (ii) fidelity of worker training; (iii) reach to intended workers; (iv) frequency of training sessions; (v) duration of the program; and (vi) engagement or participation by workers and contractor representatives as indicated on the left side of Figure 1 [Linnan and Steckler, 2002; Glanz and Bishop, 2010; Hasson, 2010]. Summative evaluation, shown on the right side of Figure 1, included measures of short-term impacts of the program on worker skills, awareness, knowledge, and attitudes, intermediate impact on worker behavior changes, and long-term outcomes of reduced symptoms and reduced effort in work tasks.

**Quantitative and Qualitative Data Collection**

Quantitative data was gathered through attendance records, work logs, and surveys. Surveys were collected prior to the start of the training program, several times during the program, and at the end of the intervention when the researchers stopped collecting data at the construction project. Survey items covered process measures to record reach and worker engagement, worker knowledge, and attitudes toward the use of ergonomics for short-term impacts, worker and work group behaviors related to ergonomics for intermediate impacts, and symptoms, missed work days, and worker perceived effort in tasks for long-term outcomes (see Appendix SII for survey items and sources). Workers rated their level of agreement with each item on a six-point response scale ranged from strongly disagree to strongly agree. The survey also captured worker demographics and work history.
including age, gender, handedness, race, job classification, years worked in the trade, time worked for current contractor, and weekly hours worked [Hahn and Murphy, 2008].

Qualitative data was obtained from open-ended questions on the survey, worker focus groups, contractor interviews, and researcher field notes. These data were used in the process evaluation to determine the contractor representatives’ engagement and to evaluate worker behavior changes for intermediate program impacts.

Data Analysis

Process evaluation

We examined the demographics and participation of the contractors and all recruited workers in the program based on survey responses and training attendance, in order to determine the fidelity of training, reach, frequency, duration, and worker engagement. We described the contractor representative’s participation in the program by a qualitative review of all data and independent ratings with a consensus process by the two onsite researchers in the project (AMD, LJ). Contractor representatives were coded as fully engaged, partially engaged, or not engaged in the program.

Summative evaluation

We analyzed the survey responses for each outcome (short-term, intermediate, and long-term). We dichotomized the response scale to compare the proportion of workers that agreed with each item (scores 5–6) with those that slightly agreed or disagreed (scores 1–4). We summarized self-reported worker behavior changes quantitatively by determining the proportion of subjects that reported making a behavior change due to the program and used mixed logistic regression models to test the odds of worker agreement of dichotomized response scales at follow-up compared to baseline, with workers nested within contractor work groups. We also evaluated worker behavior change qualitatively by consensus coding of open-ended survey items, interviews, and field notes. Two researchers (AMD, LJ) determined the number of problems that had been identified during the study by the workers or researchers for each work task in the qualitative data, then reviewed the history of each problem to determine whether there was a solution identified for each problem, the type of solution (equipment, tool, or work practice), whether the solution was the contractor’s responsibility and/or worker’s responsibility, and barriers to implementation of solutions. We determined the timing of implementation of each solution, whether the solution was available before the ergonomic program, during the program, or planned for implementation after the end of the project. Finally, we compared the presence of symptoms, reported missed days due to symptoms, as well as improvements in perceived effort required for tasks following the program to baseline reports.

RESULTS

Demographics

The seven participating contractors employed between 20 and 40 workers annually on average. Three contractors employed safety directors. There were 97 workers among the seven work groups; two were ineligible (one by age, one planned retirement in 1 month). We enrolled 95 workers into the study: 25 workers from three floor laying contractors, 42 workers from three sheet metal contractors, and 28 workers from one carpenter drywall contractor. Nine (9.5%) of the 95 workers did not attend any training. Trained workers (n = 86) had a mean age of 40 years (range 19–60 years), were white (98.8%), experienced in the trade for an average of 16.8 years (range 2 months to 39 years), and employed by their current contractor for an average of 7.7 years (range from 1 week to 36 years).

Table 1 presents the baseline characteristics of each separate work group. The size of the participatory work groups ranged from 2 to 24 workers and most workers were journeymen.

Process evaluation

The process evaluation, used to assess the fidelity of the program, showed the contactors were unable to meet several of the research expectations of the program as presented in Table II. All of the floor laying contractors had difficulty providing stable work crews and one contractor from each trade showed limited available work of at least 3 months. The carpenter group’s project was put on an accelerated time line for early job completion so the research team was asked to stop the program since workers had no time for participation. The participation of the contractor representative was very limited with only one group showing good participation.

The worker-related process measures showed relatively good fidelity of the program. All training objectives were delivered, the training reached most workers, the frequency of the training and the interactions were fairly consistent, although the duration of the program was shorter than expected for two groups. The engagement of the workers determined by worker assessment of the usefulness of training was excellent for most groups.

Summative Evaluation

Short-term impacts

Table IIIa shows the short-term impacts of the program. At baseline, most workers reported a high level of skill and
awareness in recognizing problematic tasks and willingness to try new tools or change work tasks. Workers reported lower baseline levels of knowledge in how to use ergonomics in their jobs and plans for trying new tools and making changes, but these items showed the greatest improvement in worker agreement after training, (OR 2.1; 95%CI 1.1–4.0) and (OR 2.1; 95%CI 1.1–4.0), respectively.

**Intermediate impacts**

Table IIIb shows the proportion of workers who reported agreement with safer behavior, practice, and decision-making actions at baseline (before program) and follow-up (after program). At baseline, most workers felt they could find ways to make their job physically easier and that they were involved in making decisions about their health and safety. The lowest proportion of workers reported good practice for talking about ergonomics, tools, or techniques in their work group, with their foreman, and with their employer. At baseline, only 58% of workers reported taking action to change work tasks to make their job physically easier to do. At follow-up, there was little change in response for most behaviors and practices with the exception of improvement in talking within the work group about

### TABLE I. Contractor and Participatory Work Group Characteristics

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>F01</th>
<th>F02</th>
<th>F03</th>
<th>S01</th>
<th>S02</th>
<th>S03</th>
<th>C01</th>
</tr>
</thead>
<tbody>
<tr>
<td>Average annual contractor size (n)</td>
<td>35</td>
<td>20</td>
<td>25</td>
<td>40</td>
<td>35</td>
<td>30</td>
<td>25</td>
</tr>
<tr>
<td>Trained subjects (n)</td>
<td>16</td>
<td>2</td>
<td>5</td>
<td>16</td>
<td>7</td>
<td>16</td>
<td>24</td>
</tr>
<tr>
<td>Journeyman (n)</td>
<td>7</td>
<td>2</td>
<td>4</td>
<td>14</td>
<td>4</td>
<td>14</td>
<td>20</td>
</tr>
<tr>
<td>Apprentices (n)</td>
<td>4</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>2</td>
<td>0</td>
</tr>
<tr>
<td>Missing (n)</td>
<td>5</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>4</td>
</tr>
<tr>
<td>Duration of program (in weeks)</td>
<td>15</td>
<td>13</td>
<td>17</td>
<td>15</td>
<td>9</td>
<td>8</td>
<td></td>
</tr>
</tbody>
</table>

Duration of program (in weeks)

Mean (sd) Mean (sd) Mean (sd) Mean (sd) Mean (sd) Mean (sd) Mean (sd)

| Years employed with contractor       | 3.2 (2.7) | 10 (0) | 4.9 (4.9) | 7.4 (4.2) | 6.4 (7.5) | 14.4 (12.6) | 7.0 (10.0) |
| Years in trade                       | 8.2 (7.3) | 10 (0) | 12.8 (11.6) | 17.5 (9.5) | 12.3 (10.7) | 23.5 (12.0) | 20.2 (9.0) |
| Age, in years                        | 30.6 (8.4) | 32.5 (3.5) | 37.2 (10.5) | 41.5 (9.5) | 37.6 (12.3) | 46.9 (10.9) | 42.4 (8.1) |
| Average annual hours                 | 1132 (380) | 990 (0) | 720 (701) | 1514 (272) | 1403 (943) | 1634 (317) | 1323 (825) |

F, floor layer; S, sheet metal; C, carpenter.

*bTotal average # employed by contractor.

*bW, worker; F, foreman; SD, safety director; R, researcher.

Making actions at baseline (before program) and follow-up (after program). At baseline, most workers felt they could find ways to make their job physically easier and that they were involved in making decisions about their health and safety. The lowest proportion of workers reported good practice for talking about ergonomics, tools, or techniques in their work group, with their foreman, and with their employer. At baseline, only 58% of workers reported taking action to change work tasks to make their job physically easier to do. At follow-up, there was little change in response for most behaviors and practices with the exception of improvement in talking within the work group about

### TABLE II. Process Evaluation Results by Participatory Work Group

<table>
<thead>
<tr>
<th>Process items</th>
<th>Process criteria</th>
<th>F01</th>
<th>F02</th>
<th>F03</th>
<th>S01</th>
<th>S02</th>
<th>S03</th>
<th>C01</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fidelity of contractor participation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Provide stable work crew</td>
<td>Same workers in crew</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Available work—3 months</td>
<td>Consistent, regular work hours</td>
<td>+</td>
<td>–</td>
<td>+</td>
<td>+</td>
<td>–</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Allow worker participation in program</td>
<td>Training and interactions</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Contractor representative participation</td>
<td>Training and interactions</td>
<td>±</td>
<td>–</td>
<td>±</td>
<td>+</td>
<td>±</td>
<td>±</td>
<td>±</td>
</tr>
<tr>
<td>Fidelity of worker participation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fidelity of training</td>
<td>All training objectives delivered</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Reach to workers</td>
<td>Proportion of workers trained</td>
<td>100%</td>
<td>66%</td>
<td>100%</td>
<td>94%</td>
<td>100%</td>
<td>84%</td>
<td>86%</td>
</tr>
<tr>
<td>Frequency</td>
<td>Weekly training/interactions</td>
<td>+</td>
<td>±</td>
<td>±</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Duration of program</td>
<td>Expected 3 months</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Engagement by workers a</td>
<td>Reported training useful</td>
<td>100%</td>
<td>100%</td>
<td>80%</td>
<td>100%</td>
<td>100%</td>
<td>100%</td>
<td>95%</td>
</tr>
</tbody>
</table>

Scale: fully met expectation (+), partially met expectation (±), or did not meet expectation (–); F, floor layer; S, sheet metal; C, carpenter.

*aMissing responses: F03-1, C01-2 (total n = 83).
ergonomics. There were no significant changes of worker agreement with any survey items using mixed logistic regression analysis.

Individual worker-reported changes in work behaviors on surveys were common with 76 of the 86 trained workers reported making at least one change. Ten workers (13%) reported making a tool change, 16 (21%) made a work method change, and 41 (54%) reported a change in both a tool and work method. Few workers trialed or purchased a new tool (12%). Nine (12%) workers reported making no change in their work activities.

Researcher review of qualitative data from field notes and surveys reported the problems in work tasks that were identified by workers, researchers, and worker-researcher interactions, and implementation of solutions for each problem during the project. Field notes included data from worker focus groups at the end of the study period (one for each of five work groups), management interviews (11 interviews among the seven contractors with at least one per contractor), and researcher observation notes. We incorporated the information gathered from these sessions into the information shown in Figure 2. There were 105 problems described in tasks across all seven work groups. Solutions were developed for 90% of the problems with the details shown. Nearly half (45%) of the solutions required the availability of equipment and power tools which were the contractor’s responsibility to provide (n = 43). For more than half of these solutions (n = 25, 58%), the contractor provided equipment at the worksite before the start of the PE program such as power shears, wheeled carts, and powered scissor lifts; however, the equipment was often deemed not useful (n = 13) due to being inappropriate for the design of the build (narrow halls, confined space, muddy environment), being poorly maintained/broken, or having inadequate number of resources for all workers. Only three contractor equipment changes were made during the program (e.g., provided wheeled carts and mats to cushion contact stress) and four were planned to be made for use on the next project (e.g., elastic bonnets to cover sheet metal duct and dual lens safety glasses); 10 problematic tasks (23%) had no equipment provided by the contractor during the program. Comments during a focus group with floor layers illustrated the issue: “The bigger solutions (like) grout machines (are) expensive” so contractors won’t get them for jobs. Only 33% of the

<table>
<thead>
<tr>
<th>TABLE III. Proportion of Worker Agreement* With Short-Term and Intermediate Impact Items Baseline and Follow-Up (n = 83)</th>
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</thead>
<tbody>
<tr>
<td><strong>a. Short-term impact</strong></td>
</tr>
<tr>
<td><strong>Construct</strong></td>
</tr>
<tr>
<td>Skills</td>
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<td>Awareness</td>
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<td>Knowledge</td>
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<tr>
<td>Attitudes</td>
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<td></td>
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<tr>
<td><strong>b. Intermediate impact</strong></td>
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<tr>
<td><strong>Constructs</strong></td>
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<td></td>
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<tr>
<td>Behavior</td>
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<td>Practice</td>
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<td></td>
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<td></td>
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<tr>
<td>Decision making</td>
</tr>
</tbody>
</table>

* For each item, dichotomized response scale for agreement (agree or strongly agree) to disagree/neutral response (strongly disagree, disagree, slightly disagree, slightly agree).

** Significant results of mixed logistic regression models for worker within contractor groups on agreement of dichotomized response scales at follow-up compared to baseline for individual items (P < 0.05).
solutions within the contractor responsibility were addressed appropriately either before or during the project period. Workers were responsible for providing their own manual tools and appropriately addressed 75% of the solutions involving manual tools (33/44 problems). Seventeen of the tools were available before the program (e.g., knee pads, offset snips, and offset cable cutter) and another 16 tools were purchased or trialed as a result of information learned during the program (e.g., anti-vibration gloves, heavy-duty utility knife with ergonomically designed handle). Workers recognized good tool and work practice changes during focus groups such as “using a foot-long 5/16” bit so he didn’t have to bend over with the drill” and “putting tool carts on your rigs. That way you don’t have to put (your tools) on the floor.” Eight problems had solutions that required no new equipment or tools, but required a change in work practice.

Despite the availability of equipment, tools, or new work practices, successful worker adoption of solutions was limited. Based on field notes, workers consistently used beneficial equipment, tools, and work methods for only 14% of the recommended solutions (n = 95). The reasons for lack of consistency in work practice included having an insufficient number or no tools or equipment available, poor location and access to equipment, difficulty coordinating with other team members, multistep work processes, or inaccessible work areas to use equipment due to the design of the building.

**Long-term outcomes**

There were no improvements in long-term outcomes during the program. Self-perceived effort needed to perform work activities was high at baseline (mean range of effort scores: 4.18–5.23) and did not improve during the period of the program. There was no significant change in the proportion of workers reporting pain or discomfort in the prior 4 weeks (n = 78, baseline 85.9%, follow-up 88.5%).

**DISCUSSION**

The PE program delivered to work groups in three construction trades showed minimal improvement in short-term and intermediate impacts and no improvement in long-term health outcomes. The logic model provided a structured way to show the progression of steps from the implementation of the program (process evaluation) to the program efficacy (summative evaluation), and to evaluate which steps in the intervention process were or were not successful. According to the process evaluation, the fidelity of the delivery of the program was not achieved. Even though all participants received the training information, there were several barriers to delivery of the program which differed across work groups. Lack of crew stability, shortened program duration, and a general lack of contractor support reduced the intended benefit of participation by the workers. The summative evaluation showed that most workers reported increased knowledge and skill (short-term impacts), some workers reported making ergonomic changes (intermediate impacts), and only two workers showed a change in long-term outcomes. Many workers made a change in tool use, purchased equipment, and demonstrated willingness to use equipment provided by the contractors, but contractors showed much less support in providing beneficial equipment that was appropriate for use by the workers on the project. There were other barriers to implementation of solutions beyond the control of the work group and contractor including the project timeline, design of the build, environmental conditions, and interactions with other trades. The efficacy of the PE program as delivered within the subcontractor work groups was limited by many barriers; only a portion of these barriers could have been addressable within the immediate subcontractor work group.
Management commitment and worker participation are considered the most important elements for a successful PE program [Brown, 2005]. Our results showed strong interest and creative ideas from the workers, but lacked cooperation and engagement from the contractors, even though we used informational interviews to assure contractor interest and commitment prior to the project. Equipment provided by contractors at the beginning of the project was often not appropriate for use by workers; contractors were unable to make the necessary changes providing more beneficial equipment within the project, but planned to provide the equipment on the next project. This result is opposite to the effect observed in other construction PE programs that used a management driven process to discover and implement solutions for specific high risk work task and had difficulty gaining worker buy-in to adopt solutions [van der Molen et al., 2005b].

The current program, performed in small contracting firms, was more worker-driven (75% of worker problems were addressed) and showed less buy-in from management (33% of contractor problems were addressed). Regardless of the focus of the intervention (task-specific or general training to apply across current tasks), this study shows that it is necessary to gain buy-in from both management and workers to participate in the program.

There were several production demands that limited the delivery of the program. In some work groups, the contractor representative was unwilling to allow workers to receive sessions of training as intended, stating pressure from the production schedule could not accommodate the time. Consequently, the training was condensed and delivered during previously scheduled toolbox talks. PE programs conducted in more stable work environments such as manufacturing have encountered similar barriers of time and commitment by management [St-Vincent et al., 2006; Cole et al., 2009]. Haukka et al. [2008] delivered a randomized control trial of a PE program to 18 kitchen work groups. The results showed no change in musculoskeletal health with management support cited as one of the most important facilitators. St-Vincent et al. [2006] delivered PE programs to 11 companies including slaughterhouses and manufacturers and showed various barriers, primarily related to time for the program. Greater detail provided by the process evaluation allowed insight into the barriers for delivery of the program and similarities of PE process between construction and other industries [St-Vincent et al., 2006; Driessen et al., 2011]. Given the dynamic nature of construction, PE programs in construction likely face additional challenges not encountered in other industries.

In past studies, the PE program often was viewed as a stand-alone program, created to solve a specific problem, but it did not fit within the companies’ management system [Yazdani et al., 2015]. In the current study, many barriers to the PE program were outside of the contractor and worker control such as the design of the structure and project schedule. These system level issues have been recognized in other studies as caused by the architectural design that dictates the type, size, and weight of materials without regard to the methods for installation [Kim et al., 2011; Smallwood, 2012], and limited working space for the workers [Wiberg, 2012]. Other system barriers may be in the control of the construction management or general contractor [Fulmer et al., 2006]. Since the general contractor may be the part of the organizational structure with the greatest influence on safety and the planning process of the build, this group should be fully integrated into future intervention efforts. PE programs which partner with single contracting companies may not be successful without also engaging additional levels of the temporary organizational system of the project.

Our study had limitations that may have affected our findings. Our overall sample size was small, and some work groups had a very small number of workers. We were unable to compare results between groups although there were notable differences in the organizational structure and method of delivery of the program across the work groups. We studied small contractors, who had few in-house resources for safety and health intervention, and were subject to significant economic pressures during the time of our study. The researchers were present on each worksite for a short time each week, so some information related to delivery of the program may have been missed. Even with the limited follow-up at the worksite, the recorded observations showed inconsistent work practices and use of equipment and tools for most proposed solutions. Finally, it is likely that the short period of time to deliver the program and support development of interventions was inadequate for work groups to fully incorporate the information gained from the training program and change work practices [Carlan et al., 2012; CPWR—The Center for Construction Research and Training, 2012]. Using an intervention group-only design, we were not able to test for factors outside of the context of the program, but it is unlikely that workers were receiving ergonomics content from other sources concurrent with the intervention.

The strengths of the study included the similar training and intervention program delivered to seven different small construction companies and observation of workers at the worksite for at least 3 months during the intervention program. In addition, data was gathered from the contractor and the workers to learn how each stakeholder viewed delivery and effectiveness of the program as well as the barriers to implementing solutions at the worksite. More than 70% of all construction workers are employed by establishments with fewer than 100 employees [CPWR—The Center for Construction Research and Training, 2013], similar to the seven contractor participants in the current study. The workers and contractor representatives, the safety manager or construction project...
foreman/supervisor, are actively involved in the construction project. Conducting the study within typical construction work groups that are representative of a large number of construction establishments suggests the results would be generalizable to participatory ergonomic programs delivered to specialty subcontractors on other commercial construction projects. Incorporating the program into the project safety management system that includes other contractors and levels within the project’s organization may produce better results.

CONCLUSIONS

The temporary organizations created in construction projects strongly rely on skilled workers and positive, effective working relationships between trades and between management and workers for successful completion of the builds. The unique ergonomic hazards created by the design of the build and other external factors requires engagement of managers and workers to deliver relevant and timely solutions. Although workers are willing participants in a PE program, many subcontractors do not have the organizational structure and resources to engage in the program, which causes tension between competing interests of production versus health and safety. Strong, organized, and attentive leadership from the general contractor may facilitate the process of participatory ergonomics and structure the work to allow workers’ voices and suggestions to be incorporated into the planning of the work.

AUTHORS’ CONTRIBUTIONS

All coauthors participated in this study. Drs. Dale, Jaegers, Welch, Buccholz, and Evanoff planned and conducted the research; Drs. Weaver and Gardner advised the study team during preparation of the manuscript and all authors were involved in interpretation of the data analysis. Drs. Dale, Jaegers, and Gardner were the primary writers, with all other authors contributing to and editing portions of the manuscript. All authors reviewed the final manuscript. All authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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ETHICS REVIEW AND APPROVAL

The Institutional Review Board of Washington University School of Medicine provided the ethical approval of this study. All participants provided written informed consent and were compensated for their participation.

DISCLOSURE (AUTHORS)

The authors declare that they have no conflicts of interest relevant to the publication of this manuscript; Drs. Dale, Jaegers, Welch, Gardner, Buccholz, and Evanoff report a grant from CDC/NIOSH during the conduct of the study.

DISCLOSURE BY AJIM EDITOR OF RECORDS

Steven Markowitz declares that he has no competing or conflicts of interest in the review and publication decision regarding this article.

REFERENCES


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